



Niềm tin và thịnh vượng

CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM
Độc lập – Tự do – Hạnh phúc
SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

Số/ No.: 63 /2026/CV-HĐQT

Tp. Hồ Chí Minh, ngày 30 tháng 07 năm 2026
Ho Chi Minh City, July 30, 2026

**CÔNG BỐ THÔNG TIN TRÊN CỔNG THÔNG TIN ĐIỆN TỬ
CỦA ỦY BAN CHỨNG KHOÁN NHÀ NƯỚC VÀ SỞ GIAO DỊCH CHỨNG KHOÁN TP.HCM
INFORMATION DISCLOSURE ON THE ELECTRONIC PORTAL OF THE STATE
SECURITIES COMMISSION AND THE HO CHI MINH CITY STOCK EXCHANGE**

Kính gửi: Ủy ban Chứng khoán Nhà nước
Sở giao dịch Chứng khoán TP.Hồ Chí Minh (HOSE)
To: State Securities Commission
Ho Chi Minh City Stock Exchange (HOSE)

Tên tổ chức: **NGÂN HÀNG THƯƠNG MẠI CỔ PHẦN PHƯƠNG ĐÔNG**

Organization name: **ORIENT COMMERCIAL JOINT STOCK BANK**

Mã chứng khoán: **OCB**

Stock symbol: **OCB**

Trụ sở chính: Tòa nhà The Hallmark, 15 Trần Bạch Đằng, P. An Khánh, TP.Hồ Chí Minh.

Head office: The Hallmark Building, No. 15 Tran Bach Dang, An Khanh Ward, Ho Chi Minh City.

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Người thực hiện công bố thông tin: Ông Trịnh Văn Tuấn

Information disclosing person: Mr. Trinh Van Tuan

Địa chỉ: Tòa nhà The Hallmark, 15 Trần Bạch Đằng, P. An Khánh, TP.Hồ Chí Minh

Head office: The Hallmark Building, No. 15 Tran Bach Dang, An Khanh Ward, Ho Chi Minh City

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Loại thông tin công bố: ☒ định kỳ ☐ bất thường ☐ 24h ☐ theo yêu cầu

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Nội dung thông tin công bố: Ngân hàng TMCP Phương Đông công bố Báo cáo tình hình quản trị công ty 6 tháng đầu năm 2026.

Information disclosure content: Orient Commercial Joint Stock Bank announces the Corporate Governance Report of first 6 months of 2026.

Thông tin này đã được công bố trên trang thông tin điện tử của OCB tại đường dẫn: <https://ocb.com.vn/vi/nha-dau-tu#cong-bo-thong-tin> kể từ ngày 30/07/2026.

This information has been published on OCB's website at <https://ocb.com.vn/vi/nha-dau-tu#cong-bo-thong-tin> since July 30, 2026.



Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố.

We affirm that the information provided above is accurate and we accept full legal responsibility for its content.

Nơi nhận:

Recipients:

- Như trên
- As above
- Lưu: VP.HĐQT
- Archive: BOD Office

Đính kèm:

Attached:

Báo cáo tình hình quản trị công ty 6 tháng đầu năm 2026

Corporate Governance Report of first 6 months of 2026

Đại diện tổ chức
Người đại diện theo pháp luật
Organization Representative

Legal Representative



TRỊNH VĂN TUẤN



No.: 62 /2026/BC-HDQT

Ho Chi Minh City, July 30, 2026

**REPORT ON
CORPORATE GOVERNANCE STATUS
(First 6 months of 2026)**

To: - State Securities Commission
- Ho Chi Minh Stock Exchange

- Company name: **ORIENT COMMERCIAL JOINT STOCK BANK**
- Head office address: The Hallmark Building, 15 Tran Bach Dang, An Khanh Ward, Ho Chi Minh City)
- Phone: (84-28)38220960 Fax: (84-28) 38 220 963
- Charter capital: 26,630,522,840,000 VND.
- Stock code: OCB
- Corporate governance model: General Shareholders' Meeting, Board of Directors, Supervisory Board and CEO.
- Regarding the performance of the internal audit function: Performed

I. Activities of the General Shareholders' Meeting

Information on meetings and Resolutions/Decisions of the General Shareholders' Meeting (including Resolutions of the General Shareholders' Meeting adopted in the form of collecting written opinions):

Orient Commercial Joint Stock Bank held its 2026 Annual General Shareholders' Meeting (GSM) at Lotte Hotel Saigon (2nd floor), 2A-4A Ton Duc Thang, Saigon Ward, Ho Chi Minh City on 15/4/2026.

Based on the reports and submissions, the GSM approved the following contents:

Resolution/Decision No.	Date	Content
01/2026/NQ-DHDCD	15/04/2026	The GSM approved the following contents: ▪ Report of the Board of Directors (BOD) No. 01/2026/BC-HDQT/ĐH on the 2025 business results and 2026 business plan. ▪ Report No. 02/2026/BC-BKS on the Supervisory Board's activity report. ▪ The separate and consolidated financial statements for the fiscal year ended 31/12/2025, audited by KPMG Vietnam Limited, and the plan

		for setting up funds and distributing 2025 profit under Submission No. 03/2026/TTr-HDQT/DH of the BOD. ▪ The 2026 charter capital increase plan under Submission No. 04/2026/TTr-HDQT/DH of the BOD. ▪ Approval of the total 2026 operating budget, remuneration, bonus and other benefits of the BOD and SB under Submission No. 05/2026/TTr-HDQT/DH of the BOD ▪ Approval of the selection of an independent auditing firm for OCB under Submission No. 06/2026/TTr-HDQT/DH of the BOD. ▪ Approval of the Submission on amending and supplementing the expected recovery plan in case of early intervention under Submission No. 07/2026/TTr-HDQT/DH of the BOD. ▪ Approval of the decision on certain other matters within the authority of the GSM under Submission No. 08/2026/TTr-HDQT/DH of the BOD. ▪ Approval of the election of an Independent BOD member for the 2025-2030 term under Submission No. 09/2026/TTr-HDQT/DH of the BOD.
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II. Board of Directors (First 6 Months of 2026):

1. Information on Board of Directors (BOD) members:

No.	BOD Member	Position (Independent BOD member, Non-executive BOD member)	Start date/date ceased to be BOD member/independent BOD member	
			Appointment date	Removal date
1	Mr. Trinh Van Tuan	Chairman of the BOD, Non-executive BOD member	22/04/2025	-
2	Mr. Ngo Ha Bac	Non-executive BOD member	22/04/2025	-
3	Ms. Trinh Thi Mai Anh	Non-executive BOD member	22/04/2025	-
4	Mr. Yoshizawa Toshiki	Non-executive BOD member	22/04/2025	-

5	Mr. Segawa Mitsuhiro	Non-executive BOD member	22/04/2025	-
6	Mr. Phan Trung	Non-executive BOD member	22/04/2025	-
7	Mr. Duong Ky Hiep	Independent BOD member	22/04/2025	-
8	Mr. Le Xuan Nghia	Independent BOD member	15/04/2026	-

2. BOD Meetings: In the first 6 months of 2026, the BOD held 18 meetings, comprising 03 in-person meetings and 15 meetings conducted by collecting written opinions, as follows:

No.	BOD Member	Number of BOD meetings attended	Attendance rate	Reason for absence
1	Mr. Trinh Van Tuan	18/18	100%	-
2	Mr. Ngo Ha Bac	18/18	100%	-
3	Ms. Trinh Thi Mai Anh	18/18	100%	-
4	Mr. Yoshizawa Toshiki	18/18	100%	-
5	Mr. Segawa Mitsuhiro	18/18	100%	-
6	Mr. Phan Trung	18/18	100%	-
7	Mr. Duong Ky Hiep	18/18	100%	-
8	Mr. Le Xuan Nghia	8/8	100%	Elected to the BOD on 15/4/2026

3. Supervisory activities of the BOD over the Board of Management:

The BOD approved the resolutions/decisions mentioned in Section 5 of this Report.

4. Activities of sub-committees/committees under the Board of Directors:

To assist the BOD in governance work, the BOD has established subordinate bodies to carry out its tasks. The BOD has the following committees: the Human Resources Committee, the Risk Management Committee, the Risk Settlement Committee, the Strategic Alliance Committee, the Strategy Department and the Sustainable Development Department.

Details of the Committees' activities are as follows:

Human Resources Committee (HRC): In the first 6 months of 2026, the HRC held 01 meeting to provide consultation on reviewing and adjusting the organizational structure and appointing management staff, and developing programs to develop potential talent to strengthen the succession pipeline. At the same time, the HRC continued to focus on evaluating work productivity and optimizing operational efficiency, thereby developing specific action plans to determine appropriate performance-based pay mechanisms, promote internal training and development, enhance staff capability, and build a succession pipeline. The HRC also continued to orient the development of corporate culture and standard working methods, contributing to greater engagement and organizational effectiveness.

Risk Management Committee (RMC): In the first 6 months of 2026, the RMC held 02 periodic meetings and collected opinions via email 03 times to discuss and reach consensus on the following contents: (i) Risk appetite and risk limits, bank-wide risk status, capital adequacy disclosure content, and periodic annual internal capital adequacy assessment; (ii) Assessment and direction of the implementation of action programs, risk control measures and responses to changes or business impacts caused by unfavorable market developments; (iii) Approval of proposals for issuing documents in compliance with legal regulations and business practices; (iv) Organizing the collection of approval opinions on proposed contents in a timely manner to align with the effective dates of updated or newly issued legal regulations.

Risk Settlement Committee (RSC): In the first 6 months of 2026, 32 RSC meetings were held and 6 dossiers were circulated for opinion via email; in total, the RSC reviewed and handled 887 credit risk settlement dossiers and 2 operational risk settlement dossiers. The RSC is responsible for (i) managing the credit risk provisioning policy; (ii) deciding on or approving debt recovery measures for debts for which provisions have been used to settle risk system-wide, including the handling of collateral; (iii) deciding on debt recovery measures for overdue debts or debts within term with potential risk; (iv) deciding on and approving handling measures for operational risk events that resulted in financial losses where, after remedial measures were implemented, partial/full recovery was not possible, in accordance with the operational risk event handling regulations applicable from time to time

Strategic Alliance Committee ("SAC"): The Strategic Alliance Committee advises the BOD on strategic cooperation between OCB and Aozora Bank ("AOZ"), and advises on business cooperation and development matters for OCB. In the first 6 months of 2026, 04 SAC meetings were held to discuss and agree on the direction of strategic cooperation and business development for OCB, such as: strengthening OCB's presence with government organizations and Japanese funds, developing a lending program for startups and SMEs invested in by Aozora Bank's funds, and developing preferential programs for Japanese individual customers.

Strategy Department: Acts as the focal point for advising, orienting and coordinating the implementation of key programs and initiatives to realize OCB's Development Strategy. The Department focuses on monitoring and evaluating the progress of strategic objectives, promoting transformation programs and improving coordination effectiveness among units, ensuring consistency between the Board of Directors' direction and the business plan and action programs across the system. At the same time, the Strategy Department is the focal point for monitoring, standardizing and finalizing strategy reports sent to the State Bank of Vietnam and regulatory authorities as required, ensuring full reflection of strategy implementation status and meeting the Bank's management requirements.

Sustainable Development Department (SDD): Acts as an advisor to the BOD on direction, strategy and matters related to sustainable development. In the first 6 months of 2026, the Department carried out advisory and supervisory tasks on sustainable development matters as assigned. The focus during the period included the implementation and execution of OCB's Sustainable Development Strategy, environmental and social risk management, sustainable-development-related reporting and disclosure, as well as the Bank's sustainable development programs and initiatives.

5. Resolutions/Decisions of the Board of Directors (First 6 Months of 2026):

No.	Resolution/ Decision No.	Date	Content	Approval rate
1	01/2026/NQ-HDQT	07/01/2026	Resolution approving the forward foreign currency transaction limit with the SBV of Vietnam	100%
2	02/2026/QD-HDQT	07/01/2026	Decision on removal of Mr. Nguyen Duc Hieu from the position of Director of Financial Markets Division cum Chief Financial Officer	100%
3	03/2026/QD-HDQT	07/01/2026	Decision on appointment of Ms. Nguyen Van Anh to the position of Person in Charge of Corporate Governance	100%
4	04/2026/QD-HDQT	07/01/2026	Decision on issuance of the Salary and Bonus Regulations	100%
5	05/2026/QD-HDQT	16/01/2026	Decision on recruitment and appointment of Mr. Nguyen Quoc Huong to the position of Executive Director	100%
6	06/2026/QD-HDQT	16/01/2026	Decision on applying credit rating model version 5.2 to credit products at Liobank	100%
7	07/2026/NQ-HDQT	20/01/2026	Resolution of the 5th meeting of the Board of Directors, term VII (2025-2030), dated 20/01/2026	100%
8	08/2026/NQ-HDQT	20/01/2026	Resolution authorizing Orient Commercial Bank Debt Management and Asset Exploitation Company Limited	100%

9	09/2026/QD-HDQT	20/01/2026	Decision on appointment of Mr. Nguyen Ba Ngoc to the position of Deputy CEO in charge of the CIB Division	100%
10	10/2026/QD-HDQT	20/01/2026	Decision on issuance of OCB Organizational Structure Regulations	100%
11	11/2026/NQ-HDQT	20/01/2026	Resolution approving the 2026 SMS cost	100%
12	12/2026/NQ-HDQT	05/02/2026	Resolution approving the advance payment for the appeal bond deposit under the judgment of the Federal Court, Southern District of New York, United States	100%
13	13/2026/NQ-HDQT	09/02/2026	Resolution approving contracts and transactions between OCB and Mr. Trinh Van Tuan, related person of Mr. Trinh Van Tuan	100%
14	14/2026/NQ-HDQT	09/02/2026	Resolution approving contracts and transactions between OCB and Mr. Ngo Ha Bac, related person of Mr. Ngo Ha Bac	100%
15	15/2026/NQ-HDQT	09/02/2026	Resolution approving contracts and transactions between OCB and Ms. Trinh Thi Mai Anh, related person of Ms. Trinh Thi Mai Anh	100%
16	16/2026/NQ-HDQT	09/02/2026	Resolution approving contracts and transactions between OCB and Mr. Yoshizawa Toshiki, related person of Mr. Yoshizawa Toshiki	100%
17	17/2026/NQ-HDQT	09/02/2026	Resolution approving contracts and transactions between OCB and Mr. Segawa Mitsuhiro, related person of Mr. Segawa Mitsuhiro	100%
18	18/2026/NQ-HDQT	09/02/2026	Resolution approving contracts and transactions between OCB and Mr. Phan Trung, related person of Mr. Phan Trung	100%

19	19/2026/NQ-HDQT	09/02/2026	Resolution approving contracts and transactions between OCB and Mr. Duong Ky Hiep, related person of Mr. Duong Ky Hiep	100%
20	20/2026/NQ-HDQT	09/02/2026	Resolution approving contracts and transactions between OCB and insiders, related persons of insiders	100%
21	21/2026/NQ-HDQT	09/02/2026	Resolution approving contracts and transactions between OCB and major shareholders, related persons of major shareholders, and subsidiaries	100%
22	22/2026/NQ-HDQT	09/02/2026	Resolution approving contracts and credit-granting transactions with a value of 10% of OCB's charter capital or more with Customers	100%
23	23/2026/QD-HDQT	11/02/2026	Decision on applying the credit scoring model to credit assessment for real estate loans of the Retail Banking Division	100%
24	24/2026/QD-HDQT	11/02/2026	Decision on applying the credit scoring model to credit assessment for business production loans of the Retail Banking Division	100%
25	25/2026/NQ-HDQT	11/02/2026	Resolution approving contracts and credit-granting transactions with a value of 10% of OCB's charter capital or more with Customers	100%
26	26/2026/NQ-HDQT	11/02/2026	Resolution on organizing the 2026 Annual General Shareholders' Meeting	100%
27	27/2026/NQ-HDQT	04/03/2026	Resolution on OCB's 2026 CI index	100%
28	28/2026/QD-HDQT	04/03/2026	Decision on issuance of the Deposit Regulations	100%
29	29/2026/QD-HDQT	04/03/2026	Decision on applying the credit scoring model to credit assessment for loans of corporate customers of the CMB Division	100%

30	30/2026/QD-HDQT	04/03/2026	Decision on issuance of OCB's 2026 Risk Appetite Statement	100%
31	31/2026/QD-HDQT	04/03/2026	Decision on appointment of Ms. Huynh Ngoc Tuyen to the position of Director of the Operations Division	100%
32	32/2026/NQ-HDQT	05/03/2026	Resolution on changing the office location of the Le Chan Transaction Office	100%
33	33/2026/QD-HDQT	11/03/2026	Decision on issuance of OCB Organizational Structure Regulations	100%
34	34/2026/QD-HDQT	11/03/2026	Decision on recruitment and appointment of Mr. Nguyen Quang Huy to the position of Acting Director of the Risk Management Division	100%
35	35/2026/NQ-HDQT	19/03/2026	Resolution of the 6th meeting of the Board of Directors, term VII (2025-2030), dated 19/03/2026	100%
36	36/2026/NQ-HDQT	19/03/2026	Resolution on the 2026 business plan	100%
37	37/2026/QD-HDQT	19/03/2026	Decision on appointment of Ms. Do Tu Anh to the position of Deputy CEO	100%
38	38/2026/NQ-HDQT	19/03/2026	Resolution approving the cost of maintaining existing applications on the AWS cloud computing platform	100%
39	39/2026/QD-HDQT	19/03/2026	Decision on issuance of the Regulations on management of safety limits and ratios in OCB's operations	100%
40	40/2026/NQ-HDQT	07/04/2026	Resolution approving OCB's procedures for requesting SBV approval to add the operating content "participation in the international payment system" to OCB's operating license	100%

41	41/2026/NQ-HDQT	07/04/2026	Resolution approving the cost of implementing promotional programs and communication/advertising activities on the occasion of the bank's 30th founding anniversary	100%
42	42/2026/QD-HDQT	07/04/2026	Decision on removal of Mr. Le Dang Khoa from the position of Director of the CMB Division	100%
43	43/2026/QD-HDQT	07/04/2026	Decision on recruitment and appointment of Mr. Nguyen Vu Luong to the position of Director of the Financial Markets Division	100%
44	44/2026/NQ-HDQT	07/04/2026	Resolution on the transfer of real estate at land parcel 192c-1, map sheet No. 45, Nguyen Chi Thanh Street, and land parcel 00, map sheet No.: extraction survey, Chu Prong Town, Chu Prong District, Gia Lai Province	100%
45	45/2026/NQ-HDQT	24/04/2026	Resolution approving the plan to issue, in multiple tranches, non-convertible bonds without warrants of OCB for the 1st time in 2026, and the offering and issuance of valuable papers	100%
46	46/2026/NQ-HDQT	24/04/2026	Resolution on implementing the 2026 charter capital increase plan by issuing shares to increase share capital from equity sources	100%
47	47/2026/NQ-HDQT	04/05/2026	Resolution on the transfer of real estate at land parcel BK 154, map sheet No. 12, Zone A, at 91A Ham Nghi, Saigon Ward, HCMC	100%
48	48/2026/QD-HDQT	04/05/2026	Decision on issuance of the Regulations on organization and operation of the Risk Settlement Committee	100%
49	49/2026/QD-HDQT	09/05/2026	Decision on issuance of the Regulations on syndicated credit granting to Customers	100%

50	50/2026/QD-HDQT	09/05/2026	Decision on issuance of the Credit Risk Management Regulations	100%
51	51/2026/QD-HDQT	09/05/2026	Decision on issuance of the Collateral Regulations	100%
52	52/2026/QD-HDQT	09/05/2026	Decision on issuance of the Regulations on debt purchase and sale activities at OCB	100%
53	53/2026/QD-HDQT	09/05/2026	Decision on issuance of the Regulations on decentralization of authority for credit-risk-related operations at OCB	100%
54	54/2026/QD-HDQT	09/05/2026	Decision on issuance of the Regulations on discounting activities of OCB with Customers	100%
55	55/2026/QD-HDQT	09/05/2026	Decision on issuance of the Regulations on special lending to credit institutions	100%
56	56/2026/NQ-HDQT	20/05/2026	Resolution of the 7th BOD meeting, term VII (2025-2030), dated 20/05/2026	100%
57	57/2026/QD-HDQT	20/05/2026	Decision on removal of Mr. Pham Hong Hai from the position of CEO	100%
58	58/2026/QD-HDQT	20/05/2026	Decision on appointment of Mr. Shayannars Hamed (Chris Shayan) to the position of Deputy CEO	100%
59	59/2026/QD-HDQT	20/05/2026	Decision on issuance of the Regulations on organization and operation of OCB's Risk Management Committee	100%
60	60/2026/QD-HDQT	20/05/2026	Decision on applying the credit rating model to the assessment of unsecured credit card and unsecured loan applications of the Retail Banking Division	100%
61	61/2026/QD-HDQT	20/05/2026	Decision on issuance of the Regulations on exemption/reduction of	100%

			interest, fees and penalties in credit granting activities	
62	62/2026/QD-HDQT	20/05/2026	Decision on issuance of OCB Organizational Structure Regulations	100%
63	63/2026/NQ-HDQT	20/05/2026	Resolution approving the security services cost for the entire OCB system for the 2026-2029 period	100%
64	64/2026/NQ-HDQT	20/05/2026	Resolution approving the Report evaluating the implementation plan for applying the Internal Ratings-Based (IRB) approach	100%
65	65/2026/NQ-HDQT	20/05/2026	Resolution approving the cost of newly implementing a customer relationship management system (CRM) and a sales application (Sale App) for the Retail Banking Division	100%
66	66/2026/QD-HDQT	20/05/2026	Decision on implementing the credit rating model version A6 in credit assessment at Liobank	100%
67	67/2026/NQ-HDQT	20/05/2026	Resolution on work assignment for OCB's Independent BOD members for the 2025-2030 term	100%
68	68/2026/NQ-HDQT	20/05/2026	Resolution on remuneration of OCB's Independent BOD members for the 2025-2030 term	100%
69	69/2026/QD-HDQT	28/05/2026	Decision on appointment of Mr. Shayannars Hamed (Chris Shayan) to the position of Acting CEO	100%
70	70/2026/NQ-HDQT	28/05/2026	Resolution on remuneration of OCB's BOD members for the 2025-2030 term	100%
71	71/2026/NQ-HDQT	28/05/2026	Resolution on adjusting the work assignment of OCB's BOD members for the 2025-2030 term	100%
72	72/2026/QD-HDQT	28/05/2026	Decision on issuance of the Regulations on organization and operation of OCB's Human Resources Committee	100%

73	73/2026/QD-HDQT	28/05/2026	Decision on issuance of the Regulations on organization and operation of OCB's Sustainable Development Department	100%
74	74/2026/NQ-HDQT	28/05/2026	Resolution on appointment of leadership positions and amendment and supplementation of the Charter of Orient Commercial Bank Debt Management and Asset Exploitation Company Limited	100%
75	75/2026/QD-HDQT	28/05/2026	Decision on appointment of Mr. Nguyen Quang Huy to the position of Chairman OAMC.	100%
76	76/2026/NQ-HDQT	29/05/2026	Resolution on changing the office location of the Nguyen Van Troi Transaction Office	100%
77	77/2026/NQ-HDQT	17/06/2026	Resolution on the record date for finalizing the list of shareholders entitled to receive shares issued from the equity-funded capital increase	100%
78	78/2026/NG-HDQT	30/06/2026	Resolution approving contracts and credit-granting transactions with a value of 10% of OCB's charter capital or more with customers	62,5%

III. Supervisory Board (First 6 Months of 2026):

1. Information on Supervisory Board (SB) members:

2025-2030 term: The Supervisory Board comprises 5 members elected by the 2025 Annual General Shareholders' Meeting on 22/04/2025:

No.	SB Member	Position	Date of becoming SB member	Qualification
1	Ms. Dang Thi Thanh Huyen	Head of SB	22/04/2025	Bachelor's degree
2	Ms. Dang Thi Quy	Member	22/04/2025	Bachelor's degree

No.	SB Member	Position	Date of becoming SB member	Qualification
3	Mr. Pham Quang Vinh	Member	22/04/2025	Bachelor's degree
4	Mr. Nguyen Van Hai	Member	22/04/2025	Bachelor's degree
5	Mr. Nguyen Trong Hai	Member	22/04/2025	Bachelor's degree

2. Supervisory Board meetings: In the first 6 months of 2026, the Supervisory Board held 03 meetings on 14/01/2026, 12/03/2026 and 14/05/2026, and 01 meeting by collecting written opinions to issue the Audit Handbook on 24/04/2026, as follows:

No.	SB Member	Number of meetings attended	Attendance rate	Voting rate	Reason for absence
1	Ms. Dang Thi Thanh Huyen	04/04	100%	100%	-
2	Ms. Dang Thi Quy	04/04	100%	100%	-
3	Mr. Pham Quang Vinh	04/04	100%	100%	-
4	Mr. Nguyen Van Hai	03/04	75%	100%	Absent
5	Mr. Nguyen Trong Hai	04/04	100%	100%	-

3. Supervisory activities of the SB over the BOD, Board of Management and shareholders

- The Supervisory Board performs its supervisory function in accordance with the Law on Credit Institutions, OCB's Charter and the Regulations on organization and operation of the Supervisory Board.
- The Supervisory Board supervises governance and management activities in terms of compliance with law, internal regulations, the Charter and resolutions and decisions of the General Shareholders' Meeting and the Board of Directors:
 - + Through internal audit activities, the Supervisory Board supervises the implementation of the internal control system and risk management.
 - + Directs Internal Audit to review compliance with legal regulations in the development and issuance of internal regulations and rules by the BOD and CEO.
- The Supervisory Board supervises the financial condition, reviews OCB's 2025 financial statements, and reports to the 2026 Annual General Shareholders' Meeting.

- The Supervisory Board supervises the approval and implementation of investment projects, purchases and sales of fixed assets, contracts and other transactions within the authority of the GSM and BOD.
 - The Supervisory Board supervises compliance with regulations on limits to ensure safety in operations under the Law on Credit Institutions.
 - The Supervisory Board regularly monitors and evaluates the observance of professional ethical standards by Supervisory Board members and internal auditors; reviews and assesses the adequacy, effectiveness and efficiency of the internal audit function as well as the performance of the Head of Internal Audit.
4. Coordination between the Supervisory Board and the activities of the BOD, Board of Management and other managers:
- In the first 6 months of 2026, the SB continued to maintain a regular and close coordination mechanism with the BOD, Board of Management and related units, ensuring full performance of functions and duties in accordance with legal regulations and the internal regulations of OCB
 - The BOD and Board of Management continued to create favorable conditions for the Supervisory Board to perform its supervisory duties; providing information, documents and reports promptly at the Supervisory Board's request.
 - The Supervisory Board fully attended all BOD meetings and all Risk Management Committee meetings. At BOD meetings, the Supervisory Board reported on its activities and supervisory results, participated in discussions and contributed opinions on each meeting's content.
 - The Supervisory Board regularly attended management meetings, exchanging views with the Board of Management on governance, risk management, internal control, compliance and other matters related to the Bank's operations.
 - The Supervisory Board directed and supervised Internal Audit in implementing the 2026 audit plan. In the first 6 months of 2026, Internal Audit conducted 15 audits at Divisions/Departments/Centers/business units in areas such as: credit granting activities, anti-money laundering, capital adequacy ratio (CAR), private bond issuance, information security, branch operations and other topics under the approved plan.
 - Internal Audit's recommendations are sent to the relevant units, individuals, heads of Divisions and Departments, the Board of Management, the CEO and the BOD, so that:
 - + The Board of Directors can decide on a unified centralized management method for the units while strengthening supervisory measures in governance.
 - + The CEO, Directors of business units, the Board of Directors of specialized divisions, and related Centers and Departments direct and implement remedies for shortcomings and complete consistent and reasonable processes and organize the effective implementation of the unit's activities.
 - + The Internal Control Monitoring Department (KSTT) monitors and supervises post-audit remediation.
 - + The Risk Management Division coordinates to propose appropriate risk management solutions (if any).

- The Board of Directors and Board of Management regularly direct related departments to implement the recommendations of the Supervisory Board and Internal Audit; coordinating to provide information, explanations and implement remedial measures for identified issues.
- The Supervisory Board receives and directs Internal Audit to conduct audits and inspections of matters at the request of the Board of Directors, the Board of Management and the SBV (if any)

5. Other activities of the Supervisory Board:

- Performing reports as required by the SBV; Issuing documents directing and supervising the implementation of recommendations and requirements of State management authorities (including matters assigning the Supervisory Board to supervise the implementation).
- Directing Internal Audit to develop and organize the implementation of the 2026 audit plan based on risk assessment, in compliance with legal regulations and the Bank's governance and management orientation.
- Directing Internal Audit to strengthen audit activities on statistical reporting as required by regulatory authorities; while also recommending that the Board of Directors, Board of Management and related units promptly implement the requirements of the State Bank of Vietnam, including anti-money laundering work and other management and supervisory matters.
- Issuing the Internal Audit Handbook to standardize guidance on processes, methods, tools, templates and audit standards needed to help the audit team perform work systematically and consistently, ensuring effectiveness in the internal audit function's operations.
- The Supervisory Board continues to monitor and evaluate the effectiveness of the internal control system, risk management and internal audit; recommending improvement solutions to enhance bank governance effectiveness, ensuring safe, efficient operations in compliance with legal regulations.

IV. Board of Management:

No.	Member	Date of birth	Qualification	Appointment - removal date
1	Mr. Pham Hong Hai - CEO		Bachelor's degree in Business Administration	Appointed on 16/07/2024 – removed on 01/06/2026
1	Mr. Shayannasr Hamed (Chris Shayan) – Acting CEO		Bachelor's degree in Information Technology	Appointed on 01/06/2026

2	Mr. Nguyen Ba Ngoc – Deputy CEO		Master's degree in Business Administration	Appointed on 01/02/2026
3	Ms. Do Tu Anh – Deputy CEO		Bachelor's degree in Business Administration	Appointed on 03/04/2026

V. Chief Accountant

Full name	Date of birth	Professional qualification	Appointment - removal date
Nguyen Van Anh		Master's degree in International Accounting, Swinburne University of Technology (Australia)	Appointed as Chief Accountant from 10/12/2024

VI. Corporate governance training

Corporate governance training courses attended by BOD members, SB members, the CEO, other managers and the Company Secretary in accordance with corporate governance regulations:

Participants	Course
BOD, SB, CEO	- Macroeconomics Seminar - Regulations on personal data protection
Head of Internal Audit	- Macroeconomics Seminar - Regulations on personal data protection - Regulations on Compliance and Compliance Control at OCB
Deputy CEO/Division Director/Chief Financial Officer, Deputy Division Director	- Macroeconomics Seminar - Regulations on personal data protection - Anti-money laundering refresher training - Green Academy - Knowledge on Sustainable Development (ESG) - Regulations on Compliance and Compliance Control at OCB
Mid-level management at Head Office (Head/Deputy	- Macroeconomics Seminar - Regulations on personal data protection

Head of Department/Center; Secretary/Assistant to the Board of Management); BM at business units	• Anti-money laundering refresher training • Law on Credit Institutions • Regulations on Compliance and Compliance Control at OCB • Green Academy - Knowledge on Sustainable Development (ESG) • Team performance management • Enhancing team motivation • Effective Coaching & Feedback Skills • Compliance control at business units • Operations management at business units: Administrative management; Financial and capital management; Vault/cash operations... • Guidance on using BPM systems applied for business units at OCB • Courses on products, operations and processes at OCB • Leadership capability enhancement program
Junior-level management at Head Office and Business Units	• Regulations on personal data protection • Anti-money laundering refresher training • Law on Credit Institutions • Regulations on Compliance and Compliance Control at OCB • Green Academy - Knowledge on Sustainable Development (ESG) • Work automation with Power Automate • Enhancing credit sales capability • Applying technology to sales deployment on social media platforms • The art of understanding and caring for Affluent customers • Onboarding, product and professional courses. • Overview of tax policy & e-invoices for business households

VII. List of related persons of the public company (Report for the first 6 months of 2026) and transactions between the company's related persons and the Company itself

1. List of the company's related persons: as per attached Appendix 01.
2. Transactions between the company and its related persons; or between the company and major shareholders, insiders, related persons of insiders: as per attached Appendix 02.
3. Transactions between the company's insiders, related persons of insiders and subsidiaries, companies controlled by the company: none
4. Transactions between the company and other subjects:

- 4.1 Transactions between the company and a company in which a BOD member, Supervisory Board member, Director (CEO) or other manager is or has been a founding member or a BOD member, Director (CEO) in the last three (03) years (as of the reporting date): none
- 4.2. Transactions between the company and a company in which a related person of a BOD member, Supervisory Board member, Director (CEO) or other manager is a BOD member, Director (CEO): as per attached Appendix 02.
- 4.3. Other transactions of the company (if any) that may bring material or non-material benefits to BOD members, Supervisory Board members, Directors (CEOs) and other managers: none.

VIII. Share transactions of insiders and related persons of insiders (2025 Report)

1. List of insiders and related persons of insiders: as per attached Appendix 03.
2. Transactions of insiders and related persons regarding the company's shares:

No.	Person conducting the transaction	Relationship with insider	Number of shares owned at beginning of period		Number of shares owned at end of period		Reason for increase/decrease (purchase, sale, conversion, bonus...)
			Number of shares	Ratio (%)	Number of shares	Ratio (%)	
1	Nguyen Viet Trieu	Wife of Mr. Ngo Ha Bac, BOD member	4,439,473	0.17%	3,939,473	0.15%	Sale (order-matched on exchange)
2	Nguyen Viet Trieu	Wife of Mr. Ngo Ha Bac, BOD member	3,939,473	0.15%	3,639,473	0.14%	Sale (order-matched on exchange)
3	Nguyen Viet Trieu	Wife of Mr. Ngo Ha Bac, BOD member	3,639,473	0.14%	3,139,473	0.12%	Sale (order-matched on exchange)

IX. Other matters requiring attention: none

- To:
- As addressed above
 - Filed at BOD Office

CHAIRMAN OF THE BOARD OF DIRECTORS



TRINH VAN TUAN



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1.8	Trinh Mai Linh																	Daughter
1.9	Hawkins Benjamin Michael																	Son-in-law
1.10	Trinh Mai Phuong - Paula																	Daughter
1.11	Trinh Mai Van																	Daughter
1.12	Trinh Kim Thoa																	Elder sister
1.13	Chu Manh Hung																	Brother-in-law (elder sister's husband)
1.14	Trinh Van Tam																	Younger brother
1.15	Trinh Thi Lan Anh																	Younger sister
1.16	Nguyen Van Tuan																	Brother-in-law (younger sister's husband)
1.17	Trinh Van Dung																	Younger brother
1.18	Nguyen Thi Thao																	Sister-in-law (younger brother's wife)
1.19	TOA INVESTMENT COMPANY LIMITED																	Company funded by wife
1.20	CENTURY SYNTHETIC FIBER CORPORATION																	Company where wife is a member of the Board of Directors
1.21	GREENFOOD JOINT STOCK COMPANY																	Company where wife is a major shareholder

1.22	SKT LAW COMPANY LIMITED				0314139066	01/12/2016	Ho Chi Minh City Department of Planning and Investment	Floor 11B SGR Building, 167-169 Dien Bien Phu, Da Kao Ward, District 1, Ho Chi Minh City		11/12/2011			Appointment	Company where son-in-law is Director and legal representative
2	NGO HA BAC													
2.1	Nguyen Viet Trieu													Wife
2.2	Ngo Dien													Father
2.3	Tran Thi Ty													Mother
2.4	Nguyen Xuan Ngoc													Father-in-law (wife's father)
2.5	Le Tuy Phuong													Mother-in-law (wife's mother)
2.6	Ngo Chi Lang													Child
2.7	Ngo Hoang Lan Phuong													Child
2.8	Nguyen Hong Hanh													Daughter-in-law
2.9	Ngo Viet Trung													Elder brother
2.10	Phan Thi Thanh Ha													Sister-in-law (elder brother's wife)
2.11	Ngo Me Giang													Younger brother
2.12	Nguyen Bach Thuy Linh													Sister-in-law (younger brother's wife)

2.13	Ngo Huong Nam																Younger brother
2.14	Nguyen Thi Thanh Thuy																Sister-in-law (younger brother's wife)
2.15	GIANG SAN INVESTMENT COMPANY LIMITED				11/10/2018	0108470265			Ha Noi Department of Planning and Investment	B2, Lot 17, Dinh Cong Urban Area, Dinh Cong Ward, Hoang Mai District, Hanoi City, Vietnam						Younger brother is owner and legal representative of the one-member limited liability company	
2.16	GSR REAL ESTATE INVESTMENT JOINT STOCK COMPANY				28/01/2021	0109512944			Ha Noi Department of Planning and Investment	Kim khi Thang Long, 1 Luong Yen, Bach Dang Ward, Hai Ba Trung District, Ha Noi City, Viet Nam						Younger brother is a shareholder holding over 5% of the company's charter capital and legal	
2.17	GBG INVESTMENT JOINT STOCK COMPANY				01/02/2021	0109516586			Ha Noi Department of Planning and Investment	Kim khi Thang Long, 1 Luong Yen, Bach Dang Ward, Hai Ba Trung District, Ha Noi City, Viet Nam						Younger brother is a shareholder holding over 5% of the company's charter capital and legal	
2.18	GOLDEN PHOENIX INVEST., JSC				12/11/2021	0109815201			Ha Noi Department of Planning and Investment	No. 8 Vo Chi Cong, floor 1, Hancorn, Xuan La Ward, Tay Ho District, Ha Noi City, Viet Nam						Younger brother is a shareholder holding over 5% of the company's charter capital and legal	
3	TRINH THI MAI ANH						Member of the Board of Directors					30/6/2020					Appointment
3.1	Truong Karl Duy																Husband
3.2	Truong Trinh Minh																Child
3.3	Trinh Van Tuan						Chairman of the Board of Directors										Father
3.4	Cao Thi Que Anh																Mother
3.5	Truong Daniel Dung																Father-in-law (husband's father)
3.6	Truong Ai Phuong Diane																Mother-in-law (husband's mother)

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5.8	FUJIKURA KATSUJI																Father-in-law (wife's father)
5.9	FUJIKURA KATSUE																Mother-in-law (wife's mother)
5.10	YOSHIZAWA TOSHIKI						Member of the Board of Directors										Individual jointly authorized to represent the capital contribution of Aozora Bank, Ltd. at OCB
5.11	AOZORA BANK, LTD.					01/04/1957											The insider is the person authorized to represent the capital contribution of Aozora Bank, Ltd. at OCB
6	PHAN TRUNG						Member of the Board of Directors							6/3/2011			Appointment
6.1	Dang Thi Thoa																Wife
6.2	Phan Dang Anh Huy																Son
6.3	Phan Dang Anh Vu																Son
6.4	Phan Dang Song Anh																Daughter
6.5	Phan Dang Dong Anh																Son
6.6	Phan Le																Father
6.7	Nguyen Thi Thau																Mother
6.8	Dang Cong Truong																Father-in-law (wife's father)
6.9	Nguyen Thi Suu																Mother-in-law (wife's mother)

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8.8	INNOVATION AGRICULTURE STARTUP JOINT STOCK COMPANY			1201668783	19/01/2023	Tien Giang Department of Planning and Investment	Vinh Phuoc Hamlet, Dang Hung Phuoc, Cho Gao Commune, Tien Giang Province				Company in which the insider holds 5.71% of charter capital.
8.9	DAWU T&T CO.,LTD			3901265964	12/07/2018	Tay Ninh Department of Planning and Investment	Group 7, Ap 1, Suoi alley Commune, Tan Chau District, Tay Ninh Province				Company in which the insider holds 10% of charter capital.
II	SUPERVISORY BOARD										
1	DANG THI THANH HUYEN		Member of the Supervisory Board					22/04/2025		Appointment	
1.1	Ngo Trong Thanh										Husband
1.2	Dang Van Nhat										Father
1.3	Nguyen Thi Gai										Mother
1.4	Ngo Hong Quyen										Father-in-law (husband's father)
1.5	Tran Thi Nguyet										Mother-in-law (husband's mother)
1.6	Ngo Dang Thai Son										Child
1.7	Ngo Dang The Hung										Child
1.8	Dang Thi Thu Huong										Sibling (full/biological)
1.9	Bui Quy Thang										Brother-in-law (younger sister's husband)
1.10	MANCOM COMPANY LIMITED			0313236204	06/05/2015	Ho Chi Minh City Department of Planning and Investment	68 Nguyen Hue, Ben Nghe Ward, District 1, Ho Chi Minh City				Husband is a member of the Members' Council (H&TV)

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2.10	Tran But Lang																	Father-in-law (wife's father)
2.11	Nguyen Do Van Khanh																	Mother-in-law (wife's mother)
2.12	Ngo Duy Long																	Brother-in-law (elder sister's husband)
2.13	Nguyen Van Thu																	Brother-in-law (elder sister's husband)
3	Nguyen Ba Ngoc						Deputy General Director, CIB Division						01/02/2026				Appointment	
3.1	Tran Thi Thu Thuy																	Wife
3.2	Nguyen Ba Kiet																	Child
3.3	Nguyen Tran Vy Thao																	Child
3.4	Phan Thi Huong																	Mother
3.5	Nguyen Ngoc Mai																	Father (biological)
3.6	Pham Thi Son																	Mother-in-law (wife's mother)
3.7	Tran Tan Son																	Father-in-law (wife's father)
3.8	Nguyen Thi Xuyen																	Younger sister
3.9	Nguyen Van Linh																	Brother-in-law (younger sister's husband)

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2.4	Nguyen Gia Huan																	Father
2.5	Ngo Kim Anh																	Mother
2.6	Nguyen Van Dac																	Father-in-law (wife's father)
2.7	Nguyen Thi Lan																	Mother-in-law (wife's mother)
VI	MAJOR SHAREHOLDERS, SUBSIDIARY COMPANIES																	
1	AOZORA BANK, LTD							0100-01-016961	1/4/1957	Tokyo, Japan	6 - 1 - 1 Kojimachi, Chiyoda-ku, Tokyo, Japan	24/06/2020				Contributed capital to OCB		Major Shareholder
2	ORIENT COMMERCIAL BANK INTERNATIONAL MONEY TRANSFER LIMITED COMPANY							314327542	3/4/2017	Department of Planning and Investment of HCMC	3rd Floor, No. 135 Tran Hung Dao, Cau Ong Lanh Ward, District 1, Ho Chi Minh City, Vietnam	03/04/2017				Became a subsidiary		Subsidiary
3	PHUONG DONG BANK ASSETS EXPLOITATION AND DEBT MANAGEMENT ONE MEMBER COMPANY LIMITED							318933777	28/04/2025	Department of Finance of HCMC	The Hallmark, 15 Tran Bach Dang, An Khanh, HCMC.	28/04/2025				Became a subsidiary		Subsidiary



Appendix 2

List of transactions between the Bank and the Bank's related persons; or between the Bank and major shareholders, insiders, and persons related to insiders (attached to the Corporate Governance Report for the first 6 months of 2026)

No.	Name of Organization/Individual	Relationship with OCB	ID/Passport/Business Registration No. (NSH)	Date of Issue	Place of Issue	Head Office Address/Contact Address	Transaction Period with the Company (01/01/2026 - 30/06/2026)	BOD Resolution/Decision No.	Content, Quantity, Total Transaction Value			Notes
									Content	Quantity	Total Transaction Value (VND billion)	
1	PHUONG DONG BANK ASSETS EXPLOITATION AND DEBT MANAGEMENT ONE MEMBER COMPANY LIMITED	Subsidiary	318933777	28/04/2025	Department of Finance of Ho Chi Minh City	The Hellmark, 15 Tran Bach Dang, Thu Thiem, Thu Duc, HCM.	(01/01/2026 - 30/06/2026)	BOD Resolution No. 21/2026/NQ-HBQT dated 09/02/2026	Deposit		Due to information security reasons, the amount and value of deposit transactions are not provided.	
2	ORIENT COMMERCIAL BANK INTERNATIONAL MONEY TRANSFER LIMITED COMPANY	Subsidiary	314327542	03/04/2017	Department of Planning and Investment of Ho Chi Minh City	Floor 3, No. 135 Tran Hung Dao, Cau Ong Lanh Ward, District 1, Ho Chi Minh City, Vietnam	(01/01/2026 - 30/06/2026)	BOD Resolution No. 21/2026/NQ-HBQT dated 09/02/2026	Deposit		Due to information security reasons, the amount and value of deposit transactions are not provided.	
3	Trinh Van Tuan	Chairman of the BOD					(01/01/2026 - 30/06/2026)	BOD Resolution No. 13/2026/NQ-HBQT dated 09/02/2026	Deposit		Due to information security reasons, the amount and value of deposit transactions are not provided.	
4	Cao Thi Que Anh	Wife and mother of an OCB Board Member					(01/01/2026 - 30/06/2026)	BOD Resolution No. 41/2024/NQ-HBQT dated 13/03/2024 BOD Resolution No. 13/2026/NQ-HBQT dated 09/02/2026	Credit card		0.125	
									Deposit		Due to information security reasons, the amount and value of deposit transactions are not provided.	
									Certificate of deposit		Due to information security concerns, the number and value of certificate of deposit transactions are not provided.	
5	Trinh Van Tru	Biological father of the Chairman					(01/01/2026 - 30/06/2026)	BOD Resolution No. 13/2026/NQ-HBQT dated 09/02/2026	Deposit		Due to information security reasons, the amount and value of deposit transactions are not provided.	
6	Trinh Mai Linh	Daughter of the Chairman					(01/01/2026 - 30/06/2026)	BOD Resolution No. 13/2026/NQ-HBQT dated 09/02/2026	Deposit		Due to information security reasons, the amount and value of deposit transactions are not provided.	



7	Trinh Mai Phuong - Paula	Daughter of the Chairman					(01/01/2026 - 30/06/2026)	BOD Resolution No. 13/2026/NQ-HBQT dated 09/02/2026	Deposit		Due to information security reasons, the amount and value of deposit transactions are not provided.
8	Trinh Kim Thoa	Elder sister of the Chairman					(01/01/2026 - 30/06/2026)	BOD Resolution No. 13/2026/NQ-HBQT dated 09/02/2026	Deposit		Due to information security reasons, the amount and value of deposit transactions are not provided.
9	Chu Manh Hung	Brother-in-law of the Chairman					(01/01/2026 - 30/06/2026)	BOD Resolution No. 13/2026/NQ-HBQT dated 09/02/2026	Deposit		Due to information security reasons, the amount and value of deposit transactions are not provided.
10	Trinh Van Dung	Younger brother of the Chairman					(01/01/2026 - 30/06/2026)	BOD Resolution No. 13/2026/NQ-HBQT dated 09/02/2026	Deposit		Due to information security reasons, the amount and value of deposit transactions are not provided.
11	TOA INVESTMENT COMPANY LIMITED	Company in which the Chairman's wife is a capital contributor		3005/2016	0313834130	Department of Planning and Investment of Ho Chi Minh City	31A Le Van Mien, An Khanh Ward, Ho Chi Minh City	BOD Resolution No. 13/2026/NQ-HBQT dated 09/02/2026	Deposit		Due to information security reasons, the amount and value of deposit transactions are not provided.
12	CENTURY SYNTHETIC FIBER CORPORATION	Company managed by the Chairman's wife		04/11/2005	302018927	Department of Planning and Investment of Ho Chi Minh City	B1-1 Tay Bac Cu Chi Industrial Park, Cu Chi District, Ho Chi Minh City	BOD Resolution No. 82/2024/NQ-HBQT dated 07/06/2024 BOD Resolution No. 13/2026/NQ-HBQT dated 09/02/2026	Secured loan and guarantee/LC Deposit	544,363	Due to information security reasons, the amount and value of deposit transactions are not provided.
13	GREENFOOD JOINT STOCK COMPANY	Company in which the Chairman's wife is a major shareholder		13/05/2008	3700151313	Department of Planning and Investment of Binh Duong	No. 63/3, Group 15, Binh Giao Residential Area, Thuan Giao Ward, Thuan An City, Binh Duong Province, Vietnam	BOD Resolution No. 13/2026/NQ-HBQT dated 09/02/2026	Deposit		Due to information security reasons, the amount and value of deposit transactions are not provided.
14	Ngo Ha Bac	Board Member					(01/01/2026 - 30/06/2026)	BOD Resolution No. 14/2026/NQ-HBQT dated 09/02/2026 - BOD Resolution No. 33/2024/NQ-HBQT dated 15/02/2024 - BOD Resolution No. 92/2024/NQ-HBQT dated 01/07/2024	Deposit Credit card	10,405 0.287	Due to information security reasons, the amount and value of deposit transactions are not provided.
15	Ngo Hoang Lan Phuong	Biological child of a Board Member					(01/01/2026 - 30/06/2026)	BOD Resolution No. 14/2026/NQ-HBQT dated 09/02/2026	Deposit		Due to information security reasons, the amount and value of deposit transactions are not provided.

16	Ngo Me Giang	Younger brother of a Board Member						(01/01/2026 - 30/06/2026)	BOD Resolution No. 14/2026/NQ-HĐQT dated 09/02/2026	Deposit	Due to information security reasons, the amount and value of deposit transactions are not provided.
17	GIANG SAN INVESTMENT COMPANY LIMITED	Younger brother is the owner of the single-member LLC and its legal representative	0108470265	11/10/2018	Department of Planning and Investment of Hanoi	B2, Lot 17, Dinh Cong Urban Area, Dinh Cong Ward, Hoang Mai District, Hanoi, Vietnam		(01/01/2026 - 30/06/2026)	BOD Resolution No. 14/2026/NQ-HĐQT dated 09/02/2026	Certificate of deposit	Due to information security concerns, the number and value of certificate of deposit transactions are not provided.
18	GSR REAL ESTATE INVESTMENT JOINT STOCK COMPANY	Younger brother of a Board Member, holding over 5% of the Company's charter capital and acting as its legal representative	0109512944	28/01/2021	Department of Planning and Investment of Hanoi	Floor 16, Kim Khi Thang Long Building, No. 1 Luong Yen, Bach Dang Ward, Hai Ba Trung District, Hanoi, Vietnam		(01/01/2026 - 30/06/2026)	BOD Resolution No. 14/2026/NQ-HĐQT dated 09/02/2026	Deposit	Due to information security reasons, the amount and value of deposit transactions are not provided.
19	GBG INVESTMENT JOINT STOCK COMPANY	Younger brother of a Board Member, holding over 5% of the Company's charter capital and acting as its legal representative	0109516586	01/02/2021	Department of Planning and Investment of Hanoi	Floor 16, Kim Khi Thang Long Building, No. 1 Luong Yen, Bach Dang Ward, Hai Ba Trung District, Hanoi, Vietnam		(01/01/2026 - 30/06/2026)	BOD Resolution No. 14/2026/NQ-HĐQT dated 09/02/2026	Deposit	Due to information security reasons, the amount and value of deposit transactions are not provided.
20	Trinh Thi Mai Anh	Board Member						(01/01/2026 - 30/06/2026)	BOD Resolution No. 14/2026/NQ-HĐQT dated 09/02/2026 BOD Resolution No. 33/2024/NQ-HĐQT dated 15/02/2024	Certificate of deposit	Due to information security concerns, the number and value of certificate of deposit transactions are not provided.
										Credit card	0.428
21	Phan Trung	Board Member						(01/01/2026 - 30/06/2026)	BOD Resolution No. 18/2026/NQ-HĐQT dated 09/02/2026 - BOD Resolution No. 33/2024/NQ-HĐQT dated 15/02/2024	Deposit	Due to information security reasons, the amount and value of deposit transactions are not provided.
										Credit card	0.298

22	Dang Thi Thoa	Wife of a Board Member						(01/01/2026 - 30/06/2026)	BOD Resolution No. 18/2026/NQ-HBQT dated 09/02/2026	Deposit	Due to information security reasons, the amount and value of deposit transactions are not provided.
23	Phan Dang Anh Vu	Son of a Board Member						(01/01/2026 - 30/06/2026)	BOD Resolution No. 18/2026/NQ-HBQT dated 09/02/2026	Deposit	Due to information security reasons, the amount and value of deposit transactions are not provided.
24	Phan Dang Dong Anh	Son of a Board Member						(01/01/2026 - 30/06/2026)	BOD Resolution No. 18/2026/NQ-HBQT dated 09/02/2026	Deposit	Due to information security reasons, the amount and value of deposit transactions are not provided.
25	AN HUY SERVICE - TRADING COMPANY LIMITED	The wife of a Board Member owns 80% of the company His son owns 20% of the company	0301483015	25/11/1998	Department of Planning and Investment of Ho Chi Minh City	57-59 Ngo Thi Thu Minh, Ward 2, Tan Binh District, Ho Chi Minh City		(01/01/2026 - 30/06/2026)	BOD Resolution No. 18/2026/NQ-HBQT dated 09/02/2026	Deposit	Due to information security reasons, the amount and value of deposit transactions are not provided.
26	ROMANA SERVICES - TRADING - INVESTMENT JOINT STOCK CORPORATION	An insider is a major shareholder	3400485379	17/05/2007	Department of Planning and Investment of Binh Thuan	Residential Area 5, Phu Hai Ward, Phan Thiet City, Binh Thuan Province		(01/01/2026 - 30/06/2026)	BOD Resolution No. 18/2026/NQ-HBQT dated 09/02/2026	Deposit	Due to information security reasons, the amount and value of deposit transactions are not provided.
27	Duong Ky Hiep	Independent Board Member						(01/01/2026 - 30/06/2026)	BOD Resolution No. 19/2026/NQ-HBQT dated 09/02/2026	Deposit Credit card	Due to information security reasons, the amount and value of deposit transactions are not provided. 0.038
28	Dang Thi Thanh Huyen	Member of the Supervisory Board						(01/01/2026 - 30/06/2026)	BOD Resolution No. 20/2026/NQ-HBQT dated 09/02/2026	Deposit Credit card	Due to information security reasons, the amount and value of deposit transactions are not provided. 0.193
29	Ngo Trong Thanh	Husband of the Head of the Supervisory Board						(01/01/2026 - 30/06/2026)	BOD Resolution No. 20/2026/NQ-HBQT dated 09/02/2026	Deposit	Due to information security reasons, the amount and value of deposit transactions are not provided.

30	Ngô Đăng Thế Hùng	Biological child of the Head of the Supervisory Board							(01/01/2026 - 30/06/2026)	BOD Resolution No. 20/2026/NQ-HĐQT dated 09/02/2026	Deposit	Due to information security reasons, the amount and value of deposit transactions are not provided.
31	Dang Thi Quy	Member of the Supervisory Board							(01/01/2026 - 30/06/2026)	BOD Resolution No. 20/2026/NQ-HĐQT dated 09/02/2026	Deposit	Due to information security reasons, the amount and value of deposit transactions are not provided.
32	Le Ba Hung	Husband of an OCB Supervisory Board Member							(01/01/2026 - 30/06/2026)	- BOD Resolution No. 41/2024/NQ-HĐQT dated 13/03/2024 - BOD Resolution No. 92/2024/NQ-HĐQT dated 01/07/2024	Credit card	0.010
33	Phạm Quang Vinh	Member of the Supervisory Board							(01/01/2026 - 30/06/2026)	BOD Resolution No. 20/2026/NQ-HĐQT dated 09/02/2026	Deposit	Due to information security reasons, the amount and value of deposit transactions are not provided.
34	Phạm Thị Hà	Wife of a Supervisory Board Member							(01/01/2026 - 30/06/2026)	BOD Resolution No. 20/2026/NQ-HĐQT dated 09/02/2026	Deposit	Due to information security reasons, the amount and value of deposit transactions are not provided.
35	Phạm Thủy Trang	Daughter of a Supervisory Board Member							(01/01/2026 - 30/06/2026)	BOD Resolution No. 20/2026/NQ-HĐQT dated 09/02/2026	Deposit	Due to information security reasons, the amount and value of deposit transactions are not provided.
36	Nguyễn Trọng Hải	Member of the Supervisory Board							(01/01/2026 - 30/06/2026)	BOD Resolution No. 20/2026/NQ-HĐQT dated 09/02/2026	Deposit	Due to information security reasons, the amount and value of deposit transactions are not provided.
37	Nguyễn Văn Học	Biological father of a Supervisory Board Member							(01/01/2026 - 30/06/2026)	BOD Resolution No. 20/2026/NQ-HĐQT dated 09/02/2026	Credit card	0.249
38	Nguyễn Thị Yến	Biological mother of a Supervisory Board Member							(01/01/2026 - 30/06/2026)	BOD Resolution No. 20/2026/NQ-HĐQT dated 09/02/2026	Deposit	Due to information security reasons, the amount and value of deposit transactions are not provided.

39	Vu Thi Nguyet	Mother-in-law of a Supervisory Board Member						(01/01/2026 - 30/06/2026)	BOD Resolution No. 20/2026/NQ-HBQT dated 09/02/2026	Deposit	Due to information security reasons, the amount and value of deposit transactions are not provided.
40	Nguyen Thi Huong	Younger sister of a Supervisory Board Member						(01/01/2026 - 30/06/2026)	BOD Resolution No. 20/2026/NQ-HBQT dated 09/02/2026	Certificate of deposit	Due to information security concerns, the number and value of certificate of deposit transactions are not provided.
41	HAI NAM LONG INTERNATIONAL PRODUCTION AND DISTRIBUTION JOINT STOCK COMPANY	Wife of a Supervisory Board Member, serving as Director	15/11/2022	2400949445	Department of Planning and Investment of Bac Giang	Lot CN1 2, CN02 - Thanh Van Industrial Cluster, Hiep Hoa District, Bac Giang Province, Vietnam	(01/01/2026 - 30/06/2026)	BOD Resolution No. 20/2026/NQ-HBQT dated 09/02/2026	Deposit	Due to information security reasons, the amount and value of deposit transactions are not provided.	
42	Nguyen Van Hai	Member of the Supervisory Board					(01/01/2026 - 30/06/2026)	BOD Resolution No. 20/2026/NQ-HBQT dated 09/02/2026	Deposit	Due to information security reasons, the amount and value of deposit transactions are not provided.	
43	Nguyen Hai Dan Chau	Biological child of a Supervisory Board Member					(01/01/2026 - 30/06/2026)	BOD Resolution No. 20/2026/NQ-HBQT dated 09/02/2026	Deposit	Due to information security reasons, the amount and value of deposit transactions are not provided.	
44	Nguyen Van Duong	Younger sibling of a Supervisory Board Member					(01/01/2026 - 30/06/2026)	BOD Resolution No. 20/2026/NQ-HBQT dated 09/02/2026	Deposit	Due to information security reasons, the amount and value of deposit transactions are not provided.	
45	Nguyen Thi Tuyet	Younger sibling of a Supervisory Board Member					(01/01/2026 - 30/06/2026)	BOD Resolution No. 20/2026/NQ-HBQT dated 09/02/2026	Deposit	Due to information security reasons, the amount and value of deposit transactions are not provided.	
										Credit card	0.197

APPENDIX 3: LIST OF INSIDERS AND PERSONS/ENTITIES RELATED TO INSIDERS
(Attached to the Corporate Governance Report for the first 6 months of 2020)

No.	Securities Code	Full Name	Securities Trading Account (if any)	Position at the Company (if any)		Relationship to the Insider	Type of Identification in Document (Citizen ID/Passport /Business Registration Certificate)	ID Document No. (NSH) (*)	Date of Issue (dd/mm/yyyy)	Place of Issue	Head Office Address/ Contact Address	Number of Shares Held at Period End	Shareholding Ratio at Period End (%)	Date Became a Related Person of the Company/Insider	Date Ceased to be a Related Person of the Company/Insider	Reason (in case of change related to Items 13 and 14)	Notes (regarding absence of ID document number and other remarks)	
1	2		3	4	5	6	7	8	9	10		11	12	13.000	14	15	16	17
I	OCB	BOARD OF DIRECTORS																
1	OCB	TRINH VAN TUAN		Chairman of the Board of Directors								118,088,047	4.434	06/03/2011		Appointment		
1.1	OCB	Cao Thi Que Anh				Wife						85,558,317	3.213					
1.2	OCB	Trinh Van Tru				Father						-	0.000					
1.3	OCB	Tran Thi Loc				Mother						-	0.000				Deceased	
1.4	OCB	Cao Xuan Uy				Father-in-law (wife's father)						-	0.000				Deceased	
1.5	OCB	Nguyen Thi Dan Que				Mother-in-law (wife's mother)						-	0.000				Related person provided ID Card (CMND)	
1.6	OCB	Trinh Thi Mai Anh		Member of the Board of Directors		Child						78,309,568	2.941					
1.7	OCB	Truong Karl Duy				Son-in-law						-	0.000					
1.8	OCB	Trinh Mai Linh				Daughter						48,938,872	1.838					
1.9	OCB	Hawkins Benjamin Michael				Son-in-law						-	0.000					
1.10	OCB	Trinh Mai Phuong - Paula				Daughter						-	0.000					
1.11	OCB	Trinh Mai Van				Daughter						28,836,020	1.008					
1.12	OCB	Trinh Kim Thoa				Elder sister						-	0.000					
1.13	OCB	Chu Manh Hung				Brother-in-law (elder sister's husband)						-	0.000					
1.14	OCB	Trinh Van Tam				Younger brother						-	0.000					
1.15	OCB	Trinh Thi Lan Anh				Younger sister						-	0.000					



Company name: Orient Commercial Joint Stock Bank

Registered office: 30th floor, 200

1.16	OCB	Nguyen Van Tuan						Brother-in-law (younger sister's husband)						4,877,010	0.183				
1.17	OCB	Trinh Van Dung						Younger brother						789,031	0.030				
1.18	OCB	Nguyen Thi Thao						Sister-in-law (younger brother's wife)						-	0.000				
1.19	OCB	TOA INVESTMENT COMPANY LIMITED						Company funded by wife	Business Registration Certificate	0313834130	30/05/2016		Ho Chi Minh City Department of Planning and Investment	31A Le Van Min, An Khanh Ward, Ho Chi Minh City	30,138,335	1.132			
1.20	OCB	CENTURY SYNTHETIC FIBER CORPORATION						Company where wife is a member of the Board of Directors	Business Registration Certificate	0302018927	04/11/2005		Ho Chi Minh City Department of Planning and Investment	B1-1 KCN Tay Bac Cu Chi, Cu Chi District, Ho Chi Minh City	-	0.000			
1.21	OCB	GREENFOOD JOINT STOCK COMPANY						Company where wife is a major shareholder	Business Registration Certificate	3700151313	13/05/2008		Binh Duong Department of Planning and Investment	63/2, Group 15, Khu street Binh Giao, Thuan Gao Ward, Thuan An City, Binh Duong Province	-	0.000			
1.22	OCB	SKT LAW COMPANY LIMITED						Company where son-in-law is Director and legal representative	Business Registration Certificate	0314139066	01/12/2016		Ho Chi Minh City Department of Planning and Investment	Floor 11B SGR Building, 167-169 Dien Bien Phu, Da Kao Ward, District 1, Ho Chi Minh City	-	0.000			
2	OCB	NGO HA BAC					Member of the Board of Directors		Citizen Identity Card (CCCD)					16,688,922	0.627	11/12/2011	Appointment		
2.1	OCB	Nguyen Viet Trieu						Wife	Citizen Identity Card (CCCD)					3,139,473	0.118				
2.2	OCB	Ngo Dien						Father						-	0.000			Deceased	
2.3	OCB	Tran Thi Ty						Mother						-	0.000			Deceased	
2.4	OCB	Nguyen Xuan Ngoc						Father-in-law (wife's father)						-	0.000			Deceased	
2.5	OCB	Le Tuy Phuong						Mother-in-law (wife's mother)						-	0.000			Deceased	
2.6	OCB	Ngo Chi Lang						Child						-	0.000			Deceased	
2.7	OCB	Ngo Hoang Lan Phuong						Child						-	0.000			Deceased	
2.8	OCB	Nguyen Hung Manh						Daughter-in-law						-	0.000			Deceased	
2.9	OCB	Ngo Viet Trung						Elder brother						-	0.000			Deceased	
2.10	OCB	Phan Thi Thanh Ha						Sister-in-law (elder brother's wife)						-	0.000			Deceased	

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3.11	OCB	TOA INVESTMENT COMPANY LIMITED						Company funded by mother	Business Registration Certificate	0313834130	30/05/2016	Hu Chi Minh City Department of Planning and Investment	314 Lu Van Min, An Khanh Ward, Hu Chi Minh City	30,158,335	1.132			
3.12	OCB	CENTURY SYNTHETIC FIBER CORPORATION						Company where mother is a major shareholder and Board member	Business Registration Certificate	032018627	04/11/2005	Hu Chi Minh City Department of Planning and Investment	B1-1 Tay Bac Cu Chi Industrial Zone, Cu Chi District, Hu Chi Minh City	-	0.000			
3.13	OCB	GREENFOOD JOINT STOCK COMPANY						Company where mother is a major shareholder	Business Registration Certificate	3700111113	13/05/2008	Binh Duong Department of Planning and Investment	No. 630, Group 15, Binh Giao Hamlet, Thuan Giao Ward, Thuan An City, Binh Duong Province	-	0.000			
3.14	OCB	SKT LAW COMPANY LIMITED						Company where husband is Director and legal representative	Business Registration Certificate	0314130066	01/12/2016	Hu Chi Minh City Department of Planning and Investment	Floor 11B T SGR Building, 167-169 Dien Bien Phu, Da Kao Ward, District 1, Hu Chi Minh City	-	0.000			
4	OCB	YOSHIZAWA TOSHIKI				Member of the Board of Directors		Representative of capital contribution of Aozora Bank, Ltd.						-	0.000		Appointment	
4.1	OCB	Yoshizawa Kimpel						Father						-	0.000			
4.2	OCB	Yoshizawa Yulko						Mother						-	0.000			Deceased
4.3	OCB	NAKAGAWA HIDEO						Father-in-law (wife's father)						-	0.000			
4.4	OCB	NAKAGAWA YASUKO						Mother-in-law (wife's mother)						-	0.000			
4.5	OCB	Yoshizawa Hidaki						Elder brother						-	0.000			
4.6	OCB	YOSHIZAWA ATSUKO						Sister-in-law (elder brother's wife)						-	0.000			
4.7	OCB	Yoshizawa Aiiko						Wife						-	0.000			
4.8	OCB	Yoshizawa Haruka						Son						-	0.000			
4.9	OCB	Yoshizawa Kacru						Son						-	0.000			
4.10	OCB	SEGAWA MITSUHIRO				Member of the Board of Directors		Individual jointly authorized to represent the capital contribution of Aozora Bank, Ltd.						-	0.000			
4.11	OCB	AOZORA BANK, LTD						The insider is the person authorized to represent the capital contribution of Aozora Bank, Ltd.	Establishment License	0100-01-016861	01/04/1957	Tokyo, Japan	6-1-1 Kojimachi, Chiyoda-ku, Tokyo, Japan	399,457,863	15.000			
5	OCB	SEGAWA MITSUHIRO				Member of the Board of Directors		Representative of capital contribution of Aozora Bank, Ltd.						-	0.000		Appointment	
5.1	OCB	SEGAWA KACRU						Wife						-	0.000			

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6.11	OCB	Tran Thi Thu Nguyet						Sister-in-law (younger brother's wife)					6,027,980	0.226			
6.12	OCB	AN JUY SERVICE - TRADING COMPANY LIMITED						Wife owns 80% of the company Son owns 20% of the company					-	0.000			
6.13	OCB	ROMANA SERVICES - TRADING - INVESTMENT JOINT STOCK CORPORATION						The insider is a major shareholder	Business Registration Certificate	3400485379	17/05/2007	Binh Thuan Department of Planning and Investment	Street 5, Phu Hai Ward, Phan Thiet City, Binh Thuan Province	0.000			
7	OCB	LE XUAN NGHIA											-	0.000	15/04/2026	Appointment	
7.1	OCB	To Thi Binh Minh						Wife					-	0.000			
7.2	OCB	Le Xuan Toan						Father					-	0.000			
7.3	OCB	Nguyen Thi Minh						Mother					-	0.000			
7.4	OCB	To Ngoc Thuc						Father-in-law (wife's father)					-	0.000			
7.5	OCB	Nguyen Thi Ninh						Mother-in-law (wife's mother)					-	0.000			
7.6	OCB	Le Hoang Quan						Son					-	0.000			
7.7	OCB	Le Bao Thien Tam						Daughter					-	0.000			
7.8	OCB	Tran Thi Hang						Daughter-in-law					-	0.000			
7.9	OCB	Duong Van Hieu						Son-in-law					-	0.000			
7.10	OCB	Le Xuan Bao						Younger brother					-	0.000			
7.11	OCB	Nguyen Thi Nguyet						Sister-in-law (younger brother's wife)					-	0.000			
7.12	OCB	NUP PRODUCTION IMPORT - EXPORT JOINT STOCK COMPANY						The declarant is a major shareholder (holding 14.28% of the enterprise's charter capital) and the declarant's son is a member of the Board of Directors	Business Registration Certificate	0108332492	11/10/2013	Hanoi Department of Planning and Investment	Diem Tong, Tich Giang Commune, Phuc Tho District, TP Ha Noi	0.000			
7.13	OCB	GOC INVEST JOINT STOCK COMPANY						Company in which the declarant's son holds 10% of charter capital, and the declarant's son is a member of the Board of Directors	Business Registration Certificate	0110551715	23/11/2023	Hanoi Department of Planning and Investment	35 LepacC Shopping Center, Km 1.5 Phap Van, Yen So Park, Yen No. Ward, Hoang Mai District, Hanoi City	0.000			
7.14	OCB	HALTA JOINT STOCK COMPANY						Company in which the declarant's son holds 10% of charter capital, the daughter-in-law holds 85% of charter capital, the son is General Director, and the daughter-in-law is Chairman of the Board of Directors	Business Registration Certificate	0108888609	6/9/2019	Hanoi Department of Planning and Investment	Floor 1 box Skyline, 36 Hoang Cau, O Cho Dua Ward, Dong Da District, Ha Noi City, Viet Nam	0.000			

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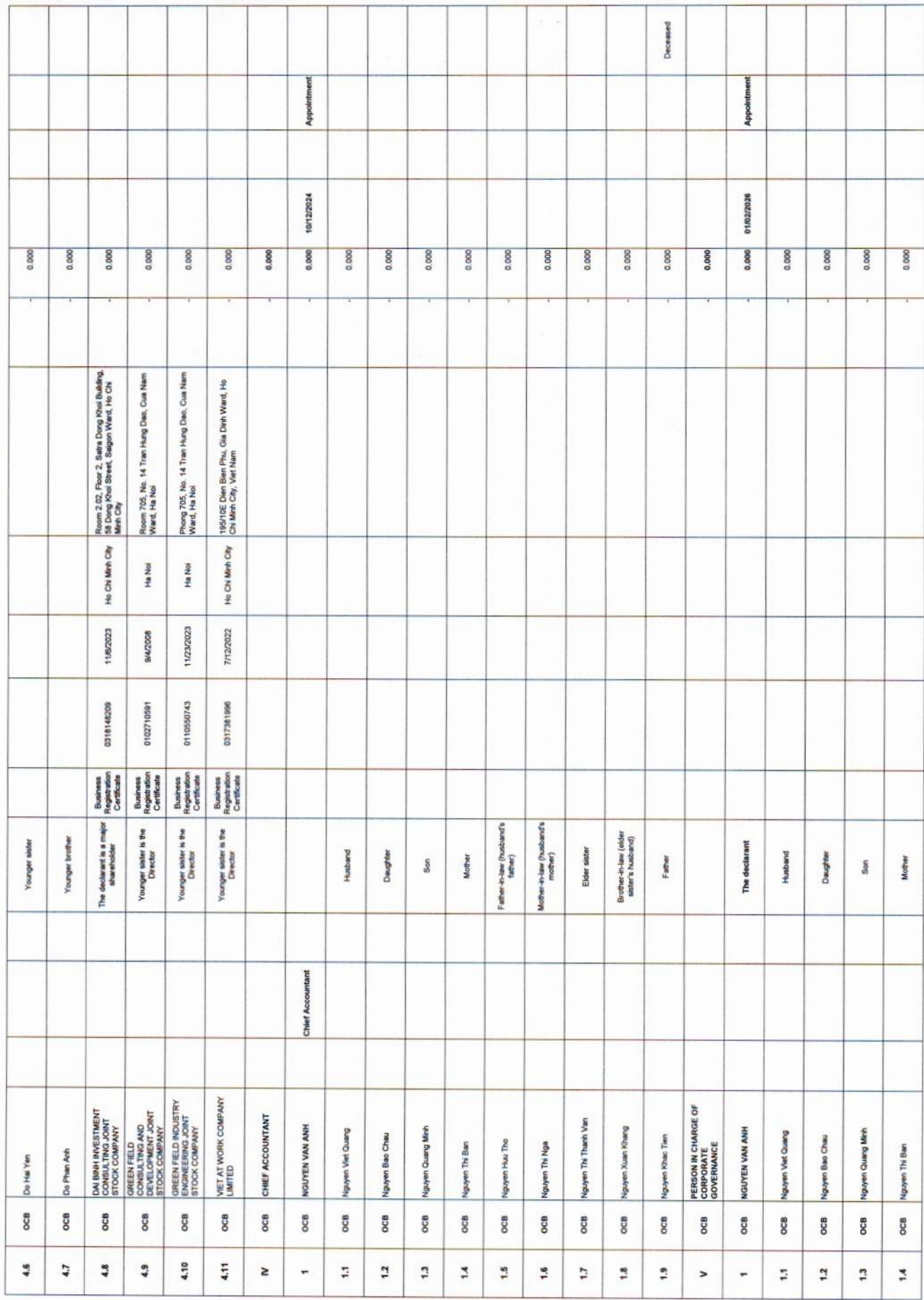
1.9	OCB	Bui Quy Thang							Brother-in-law (younger sister's husband)	Business Registration Certificate	0313236204	06/05/2015	Ho Chi Minh City Department of Planning and Investment	68 Nguyen Huu, Ben Nghe Ward, District 1, Ho Chi Minh City	-	0.000			
1.10	OCB	MANCOM COMPANY LIMITED							Husband is a member of the Members' Council (HDTV)						-	0.000			
2	OCB	DANG THI QUY				Member of the Supervisory Board									-	0.000	6/2/2011		Appointment
2.1	OCB	Dang Duc Ninh							Father						-	0.000			Deceased
2.2	OCB	Nguyen Thi Ty							Mother						-	0.000			Deceased
2.3	OCB	Le Ba Kham							Father-in-law (husband's father)						-	0.000			Deceased
2.4	OCB	Nguyen Thi Hue							Mother-in-law (husband's mother)						-	0.000			Deceased
2.5	OCB	Le Ba Hung							Husband						-	0.000			
2.6	OCB	Le Ba Luan (Koreah Rrau)							Son						-	0.000			
2.7	OCB	Le Thi Thiem							Daughter-in-law						-	0.000			
2.8	OCB	Dang Duc Phu							Elder brother (biological)						-	0.000			
2.9	OCB	Dang Thi Binh							Elder sister (biological)						-	0.000			
2.10	OCB	Nguyen Thi Mai							Sister-in-law (elder brother's wife)						-	0.000			
3	OCB	PHAM QUANG VINH				Member of the Supervisory Board									-	0.000	30/6/2020		Appointment
3.1	OCB	Pham Thi Ha							Wife						-	0.000			
3.2	OCB	Pham Quang Le							Father						-	0.000			Deceased
3.3	OCB	Luu Thuy Hop							Mother						-	0.000			
3.4	OCB	Pham Van Phung							Father-in-law (wife's father)						-	0.000			
3.5	OCB	Luong Thi Hien							Mother-in-law (wife's mother)						-	0.000			
3.6	OCB	Pham Thuy Linh							Daughter						-	0.000			
3.7	OCB	Pham Thuy Trang							Daughter						-	0.000			
3.8	OCB	Pham Thuy Van							Younger sister						-	0.000			
3.9	OCB	Nguyen Quoc Khanh							Brother-in-law (younger sister's husband)						-	0.000			

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4.11	OCB	Chu Thi Thu Hang						Sister-in-law (elder brother's wife)							-	0.000				
4.12	OCB	Nguyen Van Tuan						Brother-in-law (younger sister's husband)							4,877.010	0.183				
4.13	OCB	Trinh Thi Lan Anh						Sister-in-law (elder brother's wife)							-	0.000				
4.14	OCB	Nguyen Thi Huong						Younger sister							84,240	0.003				
4.15	OCB	HAO NAM LONG INTERNATIONAL PRODUCTION AND DISTRIBUTION JOINT STOCK COMPANY						Wife is the Director	Business Registration Certificate	2400949445	15/11/2022	Bac Giang Department of Planning and Investment	Lo CN1.2, CN02-Cum CN Thanh Van Huyen Hiep Hoa Tinh Bac Giang Viet Nam		-	0.000				
4.16	OCB	AURACARE IMPORT-EXPORT AND DISTRIBUTION COMPANY LIMITED						Wife owns 99% of charter capital	Business Registration Certificate	0110862340	15/10/2024	Ha Noi Department of Planning and Investment	B24, TT11, Khu do thi Van Quan - Yen Phuc, Van Quan Ward, Ha Dong District, Ha Noi City, Viet Nam		-	0.000				
5	OCB	NGUYEN VAN HAI					Member of the Supervisory Board								15,526	0.001	22/04/2025		Appointment	
5.1	OCB	Nguyen Van Hung						Father							-	0.000				Deceased
5.2	OCB	Nguyen Thi Minh						Mother							-	0.000				
5.3	OCB	Le Thi Xuan Huong						Wife							-	0.000				
5.4	OCB	Nguyen Hai Dan Chau						Child							-	0.000				
5.5	OCB	Nguyen Hai Nam Tran						Child							-	0.000				
5.6	OCB	Nguyen Van Duong						Sibling (fullbiological)							-	0.000				
5.7	OCB	Nguyen Thi Tuyet						Sibling (fullbiological)							-	0.000				
5.8	OCB	Le Thi Thu Ba						Sister-in-law (younger brother's wife)							-	0.000				
III	OCB	BOARD OF MANAGEMENT													-	0.000				
1	OCB	SHAYANNASR NAMED (CHRIS SHAYAN)					Acting General Director								-	0.000	01/06/2026		Appointment	

1.1	OCB	Le Hai Quynh																	-	0.000				
1.2	OCB	Le Shayannasr																	-	0.000				
1.3	OCB	Maryem Shayannasr																	-	0.000				
1.4	OCB	Amit Shayannasr									Elder brother (biological)								-	0.000				
1.5	OCB	Le Van Quang									Father-in-law (wife's father)								-	0.000				
1.6	OCB	Le Thi Thanh Huong									Mother-in-law (wife's mother)								-	0.000				
2	OCB	PHAM HONG HAI							General Director										60	0.000		16/07/2024	01/06/2026	Appointment - Removal
2.1	OCB	Tran Bao Lien									Wife								-	0.000				
2.2	OCB	Pham Tran Bao Nhu									Child								-	0.000				
2.3	OCB	Pham Tri Anh									Child								-	0.000				
2.4	OCB	Pham Viet Tu									Child								-	0.000				
2.5	OCB	Pham Bien									Father								-	0.000				Deceased
2.6	OCB	Tran Thi Kim Phu									Mother								-	0.000				
2.7	OCB	Pham Son									Elder brother (biological)								-	0.000				
2.8	OCB	Pham Nuong Chau									Elder sister (biological)								-	0.000				
2.9	OCB	Pham Thi Hong Nga									Elder sister (biological)								-	0.000				
2.10	OCB	Tran Bui Lang									Father-in-law (wife's father)								-	0.000				



1.5	OCB	Nguyen Huu Tho								Father-in-law (husband's father)					-	0.000			
1.6	OCB	Nguyen Thi Nga								Mother-in-law (husband's mother)					-	0.000			
1.7	OCB	Nguyen Thi Thanh Van								Elder sister					-	0.000			
1.8	OCB	Nguyen Xuan Khang								Brother-in-law (elder sister's husband)					-	0.000			
1.9	OCB	Nguyen Khac Tien								Father					-	0.000			Deceased
2	OCB	Nguyen Duc Hieu						Director of Financial Markets Division, Chief Financial Officer, Person in Charge of Corporate Governance, Authorized Person for Information Disclosure							-	0.000	-13/6/2024 -15/7/2025	01/02/2026	Appointment Removal
2.1	OCB	Nguyen Quynh Mai								Wife					-	0.000			
2.2	OCB	Nguyen Duc Minh								Son					-	0.000			
2.3	OCB	Nguyen Duc Quang								Son					-	0.000			
2.4	OCB	Nguyen Gai Huan								Father					-	0.000			
2.5	OCB	Ngo Kim Anh								Mother					-	0.000			
2.6	OCB	Nguyen Van Duc								Father-in-law (wife's father)					-	0.000			
2.7	OCB	Nguyen Thi Lan								Mother-in-law (wife's mother)					-	0.000			